



PATENT  
ATTORNEY DOCKET NO. STEM1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Upshall et al. Art Unit: 1615  
Serial No.: 09/961,227 Examiner To be assigned  
Filed: September 20, 2001  
Title: METHOD OF TREATMENT OF TUMORS USING TRANSFORMING GROWTH FACTOR-ALPHA

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**TRANSMITTAL SHEET**

Transmitted herewith for the above-identified application, please find:

- (1) An Information Disclosure Statement (2 pages);
- (2) A Form PTO-1449 ( 9 pages);
- (3) Ninety-three (93) References; and
- (4) Return postcard.

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**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, November 22, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Making Paper

Jason Berry

Signature

In the Application of  
Upshall et al.  
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This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 11/22/02

  
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